



EXPRESS MAIL CERTIFICATE

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PATENT TRADEMARK OFFICE

Docket No.: 9373/1H812US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Zhen -Gang WANG et al.

Serial No.: 09/863,765

Art Unit: 1642

Confirmation No.: 9136

Filed: 05/23/2001

Examiner: To be assigned

For: GENE RECOMBINATION AND HYBRID PROTEIN DEVELOPMENT

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

In order to comply with the duty to disclose information under 37

C.F.R. § 1.56, Applicants submit herewith an Information Disclosure Statement

Under 37 C.F.R. § 1.97 and § 1.98. In particular, Applicants submit herewith:

- (1) Form PTO-1449 listing references 1-90 for the Examiner's consideration; and

(2) copies of references 1-9, 12-13, 15-24, 26-35, 38-56, 60-66 and 68-90 cited in the accompanying Form PTO-1449.

References 10-11, 14, 25, 36-37, 57-59 and 67 are general references of several hundred pages each. To avoid over burdening the Examiner, copies of those references are not submitted herewith. However, Applicants will provide copies of those references at the Examiner's request.

This Information Disclosure Statement ("IDS") is being submitted in accordance with the requirement of 37 C.F.R. § 1.97(b). In particular, the IDS is submitted before the mailing of a first Office Action of the merits for this application. It is therefore believed that no fee is due for this submission. However, should the U.S. Patent and Trademark Office determine that any fee is required or that any refund is due for this submission, the Commissioner is hereby authorized and requested to charge any required fee(s) and/or credit any refund(s) due to Deposit Account No. 04-0100.

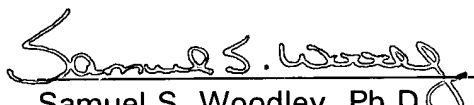
In accordance with M.P.E.P. §§ 609 and 707.05(b), it is respectfully requested that each document cited in the accompanying Form PTO-1449 be given thorough consideration, and that all of the references be cited of record in the prosecution history of the present application by initialing the Form PTO-1449 next to each listed document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection or otherwise does not consider it to be prior art for any reason. This is requested so

that each document becomes listed on the face of the patent issuing from this application. The citation of any document in this application is not to be construed as an admission by Applicants or their representatives that such document is necessarily relevant or prior art.

Early and favorable consideration of this Supplemental Information Disclosure statement and the reference cited therein is earnestly solicited.

Respectfully submitted,

Dated: October 1, 2001


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U.S. PATENT DOCUMENTS

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>FILING DATE</u>
	1. 5,605,793	2/25/97	Stemmer	435	6	2/17/94
	2. 5,741,691	4/21/98	Arnold, <i>et al.</i>	435	197	1/23/96
	3. 5,811,238	9/22/98	Stemmer, <i>et al.</i>	435	6	11/30/95
	4. 5,830,721	11/3/98	Stemmer, <i>et al.</i>	435	172.1	3/4/96

FOREIGN PATENT DOCUMENTS

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u>	
						<u>YES</u>	<u>NO</u>
	5. WO 95/22625	8/24/95	U.S.	C12Q	1/68		
	6. WO 97/20078	6/5/97	U.S.	C12Q	1/68		
				C12N	15/00		
				CO7K	14/00		
	7. WO 98/42832	10/1/98	U.S.	C12N	15/09		
				C12P	19/34		

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